

PATENT MAINTENANCE
SSI-08500

2006 MAY 15 PM 4:52

US PATENT & TRADEMARK
OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: William Dale Jones)
Serial No.: 10/630,649)
Filed: July 29, 2003)
For: CONTROL OF FLUID FLOW IN)
THE PROCESSING OF AN)
OBJECT WITH A FLUID)

REQUEST FOR REFUND (IMPROPER
CHARGE OF DEPOSIT ACCOUNT)

162 North Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

Attn: Refund Section, Accounting Division
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450.

Sir:

This is a request for a refund, with respect to the charge to Deposit Account 08-1275, shown on the attached statement dated April 28, 2006 for the above-identified patent application.

An Information Disclosure Statement was filed on April 18, 2006 (see copy of transmittal letter, certification for information disclosure statement and stamped received postcard). Deposit Account 08-1275 was debited \$180.00 on April 28, 2006, however, a certification was filed within the three statutory time period.

Therefore, no information disclosure statement fee should have been debited from the deposit account.

Applicant respectfully requests a credit to Deposit Account 08-1275 in the amount of \$180.00. The Commissioner is hereby authorized to credit Deposit Account 08-1275. An originally executed duplicate of this Request in enclosed for this purpose.

Respectfully submitted
HAVERSTOCK & OWENS LLP

Dated: 5-12-06

By: Thomas B. Haverstock

Thomas B. Haverstock
Registration No.: 32,571
Attorneys for Applicant(s)

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 5-12-06 By: J. Haverstock

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**United States
Patent and
Trademark Office**

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Deposit Account Statement

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Requested Statement Month:	April 2006
Deposit Account Number:	081275
Name:	HAVERSTOCK & OWENS LLP
Attention:	DANIELLE DALTON
Address:	162 NORTH WOLFE ROAD
City:	SUNNYVALE
State:	CA
Zip:	94086
Country:	UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/03	1	10364124	SSI-06000	1806	\$180.00	\$3,007.00
04/05	3	10680783	SSI-04001(SSI-040US1)	1806	\$180.00	\$2,827.00
04/05	8	PCT/US06/04813	HAVA-00300WO	8007	-\$40.00	\$2,867.00
04/05	1315	76520406	WILL-00101	7004	\$150.00	\$2,717.00
04/07	2	10351214	SSI-04600	1251	\$120.00	\$2,597.00
04/11	81	11399858		9204	-\$250.00	\$2,847.00
04/12	1	10264581	BRAUN-00101	2201	\$200.00	\$2,647.00
04/13	42	PAYMENT		9203	-\$2,000.00	\$4,647.00
04/17	4	09611676	63186	1811	\$100.00	\$4,547.00
04/17	506	76554864	SCI-01800	7004	\$150.00	\$4,397.00
04/18	169	60792093		9204	-\$100.00	\$4,497.00
04/20	27	11326225	ATI-00000/ATI-00701	8021	\$240.00	\$4,257.00
04/25	46	6466564		9204	-\$900.00	\$5,157.00
04/25	389	11409327		9204	-\$500.00	\$5,657.00
04/27	1	10927400	FL-00201	2201	\$100.00	\$5,557.00
04/27	2	10927400	FL-00201	2202	\$25.00	\$5,532.00
04/27	2	10364124	SSI-06000	1806	\$180.00	\$5,352.00
04/27	3	10121791	SSI-02500	1806	\$180.00	\$5,172.00
04/27	21	11189626	SONY-09302	1814	\$20.00	\$5,152.00
04/28	1	10630649	SSI-08500	1806	\$180.00	\$4,972.00
		START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE	
		\$3,187.00	\$2,005.00	\$3,790.00	\$4,972.00	

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Serial No.: 101630649 H2O File No. 551-08500 By: TBH
In the Matter of The Application of: William Dale Jones F
Date Mailed: 4/18/06 Date: 4/30/06

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- Design Patent Application _____ Pages Form PTO-1533 (Not. of Missing Parts) Transmittal Letter Duplicate
 Utility Patent Application _____ Pages Form PTO-85B (Issue Fee Trans.) & dup. Certificate of Mailing
 Prov. Patent Application _____ Pages Form PTO-1050 (Cert. of Correction) Certificate of Express Mailing
 Declaration/Oath Maintenance Fee Transmittal Form Label No. _____
 Power of Attorney _____ Reexamination Request Check(s) \$ _____
 Assignment Notice of Appeal Deposit Account Authorization Form
 Form PTO-1594/1595 Affidavit/Declaration
 Small Entity Statement Copy of Filing Receipt
 Drawings: _____ Sheets(s) Req. for Corr. of: _____
 Formal Informal
 Information Disclosure Statement Request for Non-Publication
 Form PTO-1449 and Copies of _____ 7 Rescission of Request for Non-Publication
 References Contained Thereon
 Information Disclosure Certification
 Amendment/Response
 Extension of Time Request: _____ Months(s)
 Petition

FOR PTO USE

PATENT
Attorney Docket No.: SSI-08500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 3746
William Dale Jones) Examiner: Rodriguez, William H.
Serial No.: 10/630,649)
Filed: July 29, 2003) **TRANSMITTAL LETTER**
For: **CONTROL OF FLUID FLOW IN**
THE PROCESSING OF AN
OBJECT WITH A FLUID) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700
) Customer No. 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4-18-06

By: Thomas B. Haverstock

Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.
Date: 4/18/06 By: J. Lee

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PATENT
Attorney Docket No.: SSI-08500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 3746
William Dale Jones) Examiner: Rodriguez, William H.
Serial No.: 10/630,649) **TRANSMITTAL LETTER**
Filed: July 29, 2003) 162 N. Wolfe Road
For: **CONTROL OF FLUID FLOW IN**) Sunnyvale, CA 94086
THE PROCESSING OF AN) (408) 530-9700
OBJECT WITH A FLUID) Customer No. 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir.

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4/18/06

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32.571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP.
Date: 4/18/06 By: *Elli*

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PATENT
Attorney Docket No.: SSI-08500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 3746
William Dale Jones) Examiner: Rodriguez, William H.
Serial No.: 10/630,649)
Filed: July 29, 2003)
For: **CONTROL OF FLUID FLOW IN**
THE PROCESSING OF AN
OBJECT WITH A FLUID)
) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700
) Customer No. 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached seven (7) references contained in this supplemental information disclosure statement were cited within an official action from a foreign patent office mailed on January 31, 2006. Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

COPY

PATENT
Attorney Docket No.: SSI-08500

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4-18-06

By 
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

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HAVERSTOCK & OWENS LLP.
Date: 4/18/06 By: T. Lee

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PATENT
Attorney Docket No.: SSI-08500

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4-18-06

By Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Atorneys for Applicant

THOMAS B. HAVERSTOCK
COPY

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

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HAVERSTOCK & OWENS LLP.
Date: 4/18/06 By: TB

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FORM PTO-1449 (Modified)			U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SSI-08500		Serial No.: 10/630,649	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) (37 CFR § 1.98(b))					Applicant: William Dale Jones			
					Filing Date: July 29, 2003		Group Art Unit: 3746	
U.S. PATENT DOCUMENTS								
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee		Class	Subclass	Filing Date
	AA							
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS								
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
AK	EP 0 726 099 A2	08/14/96	EPO	B08B	5/00		X	
AL	JP 4-17333	01/22/92	JPO	H01L	21/304		X	
AM	JP 8-252549	10/01/96	JPO	B08B	7/00		X	
AN	JP 9-43857	02/14/97	JPO	G03F	7/42		X	
AO	JP 10-260537	09/29/98	JPO	G03F	7/42		X	
AP	JP 11-274132	10/08/99	JPO	H01L	21/304		X	
AQ	JP 63-179530	07/23/88	JPO	H01L	21/304		X	
AR								
AS								
AT								
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)								
AU								
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Examiner:				Date Considered:				
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

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04/28/2006 DBREWER 00000001 081275 10630649

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Adjustment date: 07/11/2006 SDENBOB1

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01 FC:1806 180.00 CR